FEDAIOT: A FEDERATED LEARNING BENCHMARK FOR ARTIFICIAL INTELLIGENCE OF THINGS

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ABSTRACT

There is a significant relevance of federated learning (FL) in the realm of Artificial Intelligence of Things (AIoT). However, most of existing FL works are not conducted on datasets collected from authentic IoT devices that capture unique modalities and inherent challenges of IoT data. In this work, we introduce FedAIoT, an FL benchmark for AIoT to fill this critical gap. FedAIoT includes eight datasets collected from a wide range of IoT devices. These datasets cover unique IoT modalities and target representative applications of AIoT. FedAIoT also includes a unified end-to-end FL framework for AIoT that simplifies benchmarking the performance of the datasets. Our benchmark results shed light on the opportunities and challenges of FL for AIoT. We hope FedAIoT could serve as an invaluable resource to foster advancements in the important field of FL for AIoT. The repository of FedAIoT is maintained at https://github.com/AIoT-MLSys-Lab/FedAIoT.

1 Introduction

The proliferation of the Internet of Things (IoT) such as smartphones, drones, and sensors deployed at homes, as well as the gigantic amount of data they capture, have revolutionized the way we work, live, and interact with the world. The advances in Artificial Intelligence (AI) have boosted the integration of IoT and AI that turns Artificial Intelligence of Things (AIoT) into reality. However, data captured by IoT devices usually contain privacy-sensitive information. In recent years, federated learning (FL) has emerged as a privacy-preserving solution that enables extracting knowledge from the collected data while keeping the data on the devices (Kairouz et al., 2021; Wang et al., 2021).

Despite the significant relevance of FL in the realm of AIoT, most existing FL works are conducted on well-known datasets such as CIFAR-10 and CIFAR-100. *These datasets, however, do not originate from authentic IoT devices and thus fail to capture the unique modalities and inherent challenges associated with real-world IoT data*. This notable discrepancy underscores a strong need for an IoT-oriented FL benchmark to fill this critical gap.

In this work, we present FedAIoT, an FL benchmark for AIoT. At its core, FedAIoT includes eight well-chosen datasets collected from a wide range of authentic IoT devices from smartwatch, smartphone and Wi-Fi routers, to drones, smart home sensors, and head-mounted device that either have already become an indispensable part of people's daily lives or are driving emerging applications. These datasets encapsulate a variety of unique IoT-specific data modalities such as wireless data, drone images, and smart home sensor data (e.g., motion, energy, humidity, temperature) that have not been explored in existing FL benchmarks. Moreover, these datasets target some of the most representative applications and innovative use cases of AIoT that are not possible with other technologies.

To facilitate the community benchmark the performance of the datasets and ensure reproducibility, FedAIoT includes a unified end-to-end FL framework for AIoT, which covers the complete FL-for-AIoT pipeline: from non-independent and identically distributed (non-IID) data partitioning, IoT-specific data preprocessing, to IoT-friendly models, FL hyperparameters, and IoT-factor emulator. Our framework also includes the implementations of popular schemes, models, and techniques involved in each stage of the FL-for-AIoT pipeline.

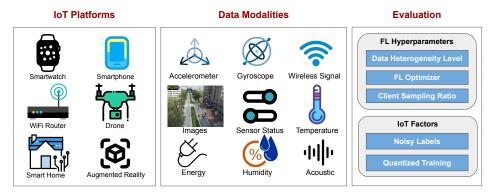


Figure 1: Overview of FedAIoT.

We have conducted a systematic benchmarking on the eight datasets using the end-to-end framework. Specifically, we examine the impact of varying degrees of non-IID data distributions, FL optimizers, and client sampling ratios on the performance of FL. We also evaluate the impact of noisy labels, a prevalent challenge in IoT datasets, as well as the effects of quantized training, a technique that tackles the practical limitation of resource-constrained IoT devices. Our benchmark results provide valuable insights into both the opportunities and challenges of FL for AIoT. Given the significant relevance of FL in the realm of AIoT, we hope FedAIoT could act as a valuable tool to foster advancements in the important area of FL for AIoT.

In summary, our work makes the following contributions.

- **IoT-focused Benchmark**. Our benchmark represents the first FL benchmark that focuses on data collected from a diverse set of authentic IoT devices. Moreover, our benchmark includes unique IoT-specific data modalities that previous benchmarks do not include.
- Unified End-to-End FL Framework for AIoT. We introduce the first unified end-to-end FL framework for AIoT that covers the complete FL-for-AIoT pipeline: from non-IID data partitioning, IoT-specific data preprocessing, to IoT-friendly models, FL hyperparameters, and IoT-factor emulator.
- Novel Design of Noisy Labels. Our benchmark also introduces a novel way to design noisy labels. Real-world FL deployments on IoT devices often encounter data labeling errors, which act as noises in the federated training. To emulate such noises, different from prior benchmarks (Feng et al., 2023; Zhang et al., 2023a) which adopt uniform error distributions, we have designed a new probabilistic label error scheme based on the insight that labels that are similar to each other are more likely to be mislabeled. This design allows us to simulate data label noises more realistically and to benchmark FL algorithms for their robustness to such noises.
- Quantized Training. Lastly, we are also the first FL benchmark to show the effect of quantized training on both the server and client sides in the context of FL. Previous benchmark like FLUTE (Dimitriadis et al., 2022) demonstrated the effect of quantized training during server-side aggregation for the purpose of communication reduction. In contrast, our benchmark also incorporates quantized training during client-side training to reduce the memory demands of FL on the device. This is a key difference as IoT devices are not just restrained in communication bandwidth but also in on-device memory.

2 RELATED WORK

The importance of data to FL research pushes the development of FL benchmarks on a variety of data modalities. Existing FL benchmarks, however, predominantly center around curating FL datasets in the domain of computer vision (CV) (Dimitriadis et al., 2022; He et al., 2021b), natural language processing (NLP) Dimitriadis et al. (2022); Lin et al. (2021), medical imaging (Terrail et al., 2022), speech and audio (Dimitriadis et al., 2022; Zhang et al., 2023a), and graph neural networks (He et al., 2021a). For example, FedCV He et al. (2021b), FedNLP (Lin et al., 2021), and FedAudio (Zhang et al., 2023a) focuses on benchmarking CV, NLP, and audio-related datasets and tasks respectively;

	Data Type	FL Framework Designed for IoT	Noisy Labels	Quantized Training
FLamby	Medical Images	No	No	No
FedAudio	Audio Data	No	Uniform	No
FedMultimodal	Multimodality Data	No	Uniform	No
FedGraphNN	Graph Data	No	No	No
FedNLP	Natural Language	No	No	No
FLUTE	Images and Text	No	No	Server Side only
FedCV	Images	No	No	No
FedAIoT	IoT Data	Yes	Probabilistic	Server and Client Side

FLUTE (Dimitriadis et al., 2022) covers a mix of datasets from CV, NLP, and audio; FLamby (Terrail et al., 2022) primarily focuses on medical imaging datasets; and FedMultimodal (Feng et al., 2023) includes multimodal datasets in the domain of emotion recognition, healthcare, multimedia, and social media. As summarized in Table 1, although these benchmarks have significantly contributed to FL research, a dedicated FL benchmark explicitly tailored for IoT data is absent. Compared to these existing FL benchmarks, FedAIoT is specifically designed to fill this critical gap by providing a dedicated FL benchmark on data collected from a wide range of authentic IoT devices.

3 Design of FedAIoT

3.1 Datasets

Objective: The objective of FedAIoT is to provide a benchmark that consists of high-quality and well-validated datasets collected from a wide range of IoT devices, sensor modalities, and applications. We have made significant efforts to validate a much larger pool of existing datasets and identified the high-quality ones to include in our benchmark. Table 2 provides an overview of the eight datasets included in FedAIoT. These datasets have diverse sizes (small, medium, large). The rationale behind this design choice is to accommodate researchers who have different computing resources. For example, researchers who have very limited computing resources can still pick the relatively small datasets included in our benchmark to develop and evaluate their algorithms. In this section, we provide a brief overview of each included dataset.

WISDM: The Wireless Sensor Data Mining (WISDM) dataset (Lockhart et al., 2011; Weiss et al., 2019) is one of the widely used datasets for the task of daily activity recognition using accelerometer and gyroscope sensor data collected from smartphones and smartwatches. WISDM includes data collected from 51 participants performing 18 daily activities, each in a 3-minute session. We combined activities such as eating soup, chips, pasta, and sandwiches into a single category called "eating", and removed uncommon activities related to playing with balls, such as kicking, catching, or dribbling. We randomly selected 45 participants as the training set and the rest of the 6 participants were assigned to the test set. Given that in real-life settings individuals may not carry a smartphone and wear a smartwatch at the same time, we partition WISDM into two separate datasets: WISDM-W with smartwatch data only and WISDM-P with smartphone data only. The total number of samples in the training and test set is 16,569 and 4,103 for WISDM-W and 13,714 and 4,073 for WISDM-P respectively. No Licence was explicitly mentioned on the dataset homepage.

UT-HAR: The UT-HAR dataset (Yousefi et al., 2017) is a Wi-Fi dataset for the task of contactless activity recognition. The Wi-Fi data are in the form of Channel State Information (CSI) collected using three pairs of antennas and an Intel 5300 Network Interface Card (NIC), with each antenna pair capable of capturing 30 subcarriers of CSI. UT-HAR comprises data collected from participants performing seven activities such as walking and running. UT-HAR contains a pre-determined training and test set. The total number of training and test samples is 3,977 and 500 respectively.

Widar: The Widar dataset (Yang, 2020; Zheng et al., 2019) is designed for contactless gesture recognition using Wi-Fi signal strength measurements collected from strategically placed access points. This system uses an Intel 5300 NIC with 3×3 antenna pairs. The dataset features data from 17 participants showcasing 22 unique gestures, including actions like push, pull, sweeping, and clapping. To maintain consistency between training and test sets, only gestures recorded by more than three users are retained. This decision ensures the test set doesn't have data from the same participants as the training set. As a result, the balanced dataset includes nine gestures with

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Dataset	IoT Platform	Data Modality	Data Dimension	Dataset Size	# Training Samples	# Clients
WISDM-W	Smartwatch	Accelerometer Gyroscope	200 × 6	294 MB	16,569	80
WISDM-P	Smartphone	Accelerometer Gyroscope	200×6	253 MB	13,714	80
UT-HAR	Wi-Fi Router	Wireless Signal	$3\times30\times250$	854 MB	3,977	20
Widar	Wi-Fi Router	Wireless Signal	$22\times20\times20$	3.3 GB	11,372	40
VisDrone	Drone	Images	$3\times 224\times 224$	1.8 GB	6,471	30
CASAS	Smart Home	Motion Sensor Door Sensor Thermostat	2000×1	233 MB	12, 190	60
AEP	Smart Home	Energy, Humidity Temperature	18×1	12 MB	15,788	80
EPIC-SOUNDS	Augmented Reality	Acoustics	400×128	34 GB	60,055	210

11, 372 samples in the training set and 5, 222 in the test set. The dataset is licensed under the Creative Commons Attribution-NonCommercial 4.0 International Licence (CC BY 4).

VisDrone: The VisDrone dataset (Zhu et al., 2021) is a large-scale dataset dedicated to the task of object detection in aerial images captured by drone cameras. VisDrone includes a total of 263 video clips, which contains 179, 264 frames and 2, 512, 357 labeled objects. The labeled objects fall into 12 categories (e.g., "pedestrian", "bicycle", and "car"), recorded under a variety of scenarios such as crowded urban areas, highways, and parks. The dataset contains a pre-determined training and test set. The total number of samples in the training and test set is 6, 471 and 1, 610 respectively. The dataset is licenced under Creative Commons Attribution-NonCommercial-ShareAlike 3.0 License.

CASAS: The CASAS dataset (Schmitter-Edgecombe & Cook, 2009), derived from the CASAS smart home project, is a smart home sensor dataset for the task of recognizing activities of daily living (ADL) based on sequences of sensor states over time to support the application of independent living. Data were collected from three distinct apartments, each equipped with three types of sensors: motion sensors, temperature sensors, and door sensors. We have selected five specific datasets from CASAS named "Milan", "Cairo", "Kyoto2", "Kyoto3", and "Kyoto4" based on the uniformity of their sensor data representation. The original ADL categories within each dataset have been consolidated into 11 categories related to home activities such as "sleep", "eat", and "bath". Activities not fitting within these categories were collectively classified as "other". The training and test set was made using an 80-20 split. Each data sample is a categorical time series of length 2,000, representing sensor states over a certain period of time. The total number of samples in the training and test set is 12,190 and 3,048 respectively. No Licence was explicitly mentioned on the dataset homepage.

AEP: The Appliances Energy Prediction (AEP) dataset (Candanedo et al., 2017) is another smart home sensor dataset but designed for the task of home energy usage prediction. Data were collected from energy sensors, temperature sensors, and humidity sensors installed inside a home every 10 minutes over 4.5 months. The total number of samples in the training and test set is 15,788 and 3,947 respectively. No Licence was explicitly mentioned on the dataset homepage.

EPIC-SOUNDS: The EPIC-SOUNDS dataset (Huh et al., 2023) is a large-scale collection of audio recordings for the task of audio-based human activity recognition for augmented reality applications. The audio data were collected from a head-mounted microphone, containing more than 100,000 categorized segments distributed across 44 distinct classes. The dataset contains a pre-determined training and test set. The total number of training and test samples is 60,055 and 40,175 respectively. The dataset is under CC BY 4 Licence.

3.2 END-TO-END FEDERATED LEARNING FRAMEWORK FOR AIOT

To benchmark the performance of the datasets and facilitate future research on FL for AIoT, we have designed and developed an end-to-end FL framework for AIoT as another key part of FedAIoT. As illustrated in Figure 2, our framework covers the complete FL-for-AIoT pipeline, including (1) non-IID data partitioning, (2) IoT-specific data preprocessing, (3) IoT-friendly models, (4) FL hyperparameters, and (5) IoT-factor emulator. In this section, we describe these components in detail.

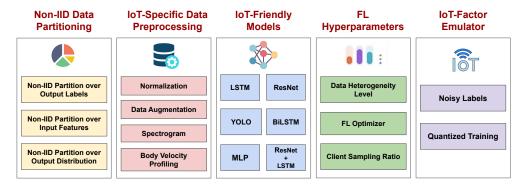


Figure 2: Overview of the end-to-end FL framework for AIoT included in FedAIoT.

3.2.1 Non-IID Data Partitioning

A key characteristic of FL is that data distribution at different clients is non-IID. The objective of non-IID data partitioning is to partition the training set such that data allocated to different clients follow the non-IID distribution. The eight datasets included in FedAIoT cover three fundamental tasks: classification, regression, and object detection. FedAIoT incorporates three different non-IID data partitioning schemes that are designed for the three tasks respectively.

Scheme#1: Non-IID Partition over Output Labels. For the task of classification (WISDM-W, WISDM-P, UT-HAR, Widar, CASAS, EPIC-SOUNDS) with C classes, we first generate a distribution over the classes for each client by drawing from a Dirichlet distribution with parameter α (Hsu et al., 2019), where lower values of α generate more skewed distribution favoring a few classes whereas higher values of α result in more balanced class distributions. We use the same α to determine the number of samples each client receives. In addition, by drawing from a Dirichlet distribution with parameter α , we create a distribution over the total number of samples, which is then used to allocate a varying number of samples to each client, where lower values of α lead to a few clients holding a majority of the samples whereas higher values of α create a more balanced distribution of samples across clients. Therefore, this approach allows us to generate non-IID data partitions both the class distribution and the number of samples can vary across the clients.

Scheme#2: Non-IID Partition over Input Features. The task of object detection (VisDrone) does not have specific classes. In such case, we use the input features to create non-IID partitions. Specifically, similar to He et al. (2021b), we first used ImageNet (Russakovsky et al., 2015) features generated from the VGG19 model (Liu & Deng, 2015), which encapsulate visual information required for subsequent analysis. With these ImageNet features as inputs, we performed clustering in the feature space using K-nearest neighbors to partition the dataset into clusters. Each cluster is a pseudo-class, representing a set of images sharing common visual characteristics as per the extracted ImageNet features. Lastly, Dirichlet allocation was applied on top of the pseudo-classes to create the non-IID distribution across different clients.

Scheme#3: Non-IID Partition over Output Distribution. For the task of regression (AEP) where output is characterized as a continuous variable, we utilize Quantile Binning (Pyle, 1999). Specifically, we divide the range of the output variable into 10 equal groups or quantiles, ensuring that each bin accommodates roughly the same number of samples. Each category or bin is treated as a pseudo-class. Lastly, we apply Dirichlet allocation to generate the non-IID distribution of data across the clients.

3.2.2 IOT-SPECIFIC DATA PREPROCESSING

The eight datasets included in FedAIoT cover diverse IoT data modalities such as wireless signals, drone images, and smart home sensor data. FedAIoT incorporates a suite of IoT-specific data preprocessing techniques that are designed for different IoT data modalities accordingly.

WISDM: We followed the standard preprocessing techniques used in accelerometer and gyroscope-based activity recognition for WISDM Ravi et al. (2005); Reyes-Ortiz et al. (2016); Ronao & Cho (2016). Specifically, for each 3-minute session, we used a 10-second sliding window with 50% overlap to extract samples from the raw accelerometer and gyroscope data sequences. We then normalize each dimension of the extracted samples by removing the mean and scaling to unit variance.

Table 3: Non-IID data partitioning schemes and models used for each dataset.

Dataset	WISDM-W	WISDM-P	UT-HAR	Widar	VisDrone	CASAS	AEP	EPIC-SOUNDS
Partition	Output	Output	Output	Output	Input	Output	Output	Output
	Labels	Labels	Labels	Labels	Features	Labels	Distribution	Labels
Model	LSTM	LSTM	ResNet18	ResNet18	YOLOv8n	BiLSTM	MLP	ResNet18

UT-HAR: We followed Yang et al. (2023) and applied a sliding window of 250 packets with 50% overlap to extract samples from the raw Wi-Fi data from all three antennas. We then normalize each dimension of the extracted samples by removing the mean and scaling to unit variance.

Widar: We first adopted the body velocity profile (BVP) processing technique as outlined in Yang et al. (2023); Zheng et al. (2019) to handle environmental variations from the data. We then applied standard scalar normalization to normalize the data. This creates data samples with the shape of $22 \times 20 \times 20$ reflecting time axis, x, and y velocity features respectively.

VisDrone: We first normalized the pixel values of drone images to range from 0 to 1. Data augmentation techniques including random shifts in Hue, Saturation, and Value color space, image compression, shearing transformations, scaling transformations, horizontal and vertical flipping, and MixUp were applied to increase the diversity of the dataset.

CASAS: We followed Liciotti et al. (2019) to transform the sensor readings into categorical sequences, creating a form of semantic encoding. Each unique temperature setting is assigned a distinct categorical value, as are individual instances of motion sensors and door sensors being activated. For each recorded activity, we then extract a sequence of the 2,000 previous sensor activations which is used for activity modeling and prediction.

AEP: Temperature data were log-transformed for skewness, and 'visibility' was binarized. Outliers below the 10th or above the 90th percentile were replaced with corresponding percentile values. Central tendency and date features were added for time-related patterns. Principal component analysis was used for data reduction, and the output was normalized using a standard scaler.

EPIC-SOUNDS: We first performed a Short-Time Fourier Transform (STFT) on raw audio data followed by applying a Hanning window of 10ms duration and a step size of 5ms to ensure optimal spectral resolution. We then extracted 128 Mel spectrogram features. To further enhance the data, we applied a natural logarithm scaling to the Mel spectrogram output and lastly, we padded each segment to reach a consistent length of 400.

3.2.3 IOT-FRIENDLY MODELS

Since FedAIoT focuses on IoT devices which are resource-constrained, our choice of models is informed by a combination of model accuracies (Huh et al., 2023; Liciotti et al., 2019; Reinbothe, 2023; Seyedzadeh et al., 2018; Sholahudin et al., 2016; Terven & Cordova-Esparza, 2023; Yang et al., 2023) and model efficiency. For each included dataset, we evaluated multiple model candidates, and selected the best-performing one that adheres to the resource constraint of each IoT device listed in Table 8. As an example, for UT-HAR dataset, two model candidates (ViT and ResNet18) have similar accuracy, and we chose the more efficient ResNet18 in our benchmark. Table 3 lists the selected model for each dataset. The detail of the architecture of each model is described in Appendix A.3.

3.2.4 FL Hyperparameters

Data Heterogeneity Level. Data heterogeneity (i.e., non-IIDness) is a fundamental challenge in FL. As outlined in Section 3.2.1, FedAIoT facilitates the creation of diverse non-IID data partitions, which enables the simulation of different data heterogeneity levels to meet experiment requirements.

FL Optimizer. FedAIoT supports a handful of commonly used FL optimizers. In the experiment section, we showcase the benchmark results of two of the most commonly used FL optimizers: FedAvg (McMahan et al., 2017) and FedOPT Reddi et al. (2020).

Client Sampling Ratio. Client sampling ratio denotes the proportion of clients selected for local training in each round of FL. This hyperparameter plays a crucial role as it directly influences the computation and communication costs associated with FL. FedAIoT facilitates the creation of diverse client sampling ratios and the evaluation of its impact on both model performance and convergence speed during FL training.

Table 4: Overall performance.

Dataset	Metric	Centralized	Low Data Heter	ogeneity ($\alpha = 0.5$)	High Data Heterogeneity ($\alpha = 0.1$)		
		Convinue	FedAvg	FedOPT	FedAvg	FedOPT	
WISDM-W	Accuracy (%)	74.05 ± 2.47	70.03 ± 0.13	71.50 ± 1.52	68.51 ± 2.21	65.76 ± 2.42	
WISDM-P	Accuracy (%)	36.88 ± 1.08	36.21 ± 0.19	34.32 ± 0.84	34.28 ± 3.28	32.99 ± 0.55	
UT-HAR	Accuracy (%)	95.24 ± 0.75	94.03 ± 0.63	94.10 ± 0.84	74.24 ± 3.87	87.78 ± 5.48	
Widar	Accuracy (%)	61.24 ± 0.56	59.21 ± 1.79	56.26 ± 3.11	54.76 ± 0.42	47.99 ± 3.99	
VisDrone	MAP-50 (%)	34.26 ± 1.56	32.70 ± 1.19	32.21 ± 0.28	31.23 ± 0.70	31.51 ± 2.18	
CASAS	Accuracy (%)	83.70 ± 2.21	75.93 ± 2.82	76.40 ± 2.20	74.72 ± 1.32	75.36 ± 2.40	
AEP	R^2	0.586 ± 0.006	0.502 ± 0.024	0.503 ± 0.011	0.407 ± 0.003	0.475 ± 0.016	
EPIC-SOUNDS	Accuracy (%)	46.97 ± 0.24	45.51 ± 1.07	42.39 ± 2.01	33.02 ± 5.62	37.21 ± 2.68	

3.2.5 IOT-FACTOR EMULATOR

Noisy Labels. In real-world scenarios, IoT sensor data can be mistakenly labeled. Such data labeling errors act as noises in the federated training process. The impact of noisy labels on FL was first investigated in Zhang et al. (2023a). However, the label error distribution was considered uniform. In contrast, we propose a new probabilistic label error scheme based on the insight that labels that are similar to each other are more likely to be mislabeled. This design lets us simulate label errors in a more realistic manner. Specifically, we augment the ground truth labels of a dataset with a *confusion matrix Q* where, Q_{ij} is the probability that the true label i is changed to a different label j, i.e., $P(\hat{y} = j \mid y = i)$. The confusion matrix was constructed based on centralized training results, where the elements of Q_{ij} was determined from the ratio of samples labeled as j by a centrally trained model to those with ground truth label i.

Quantized Training. IoT devices are resource-constrained. Previous benchmarks like FLUTE (Dimitriadis et al., 2022) examined the performance of quantized training during server-side model aggregation for the purpose of reducing the communication cost of FL. In comparison, FedAIoT incorporates not only quantized model aggregation at the server side but also quantized training at the client side to reduce the memory demands of FL on the device. Quantized training on both the server and client sides is key to enabling FL for AIoT as IoT devices are not just restrained in communication bandwidth but also in on-device memory.

4 EXPERIMENTS AND ANALYSIS

We implemented FedAIoT using PyTorch (Paszke et al., 2019) and Ray (Moritz et al., 2018), and conducted our experiments on NVIDIA A6000 GPUs. We run each of our experiments on three random seeds and report the mean and standard deviation.

4.1 Overall Performance

First, we benchmark the FL performance under two FL optimizers, FedAvg and FedOPT, under low $(\alpha = 0.5)$ and high $(\alpha = 0.1)$ data heterogeneity levels, and compare it against centralized training.

Benchmark Results: Table 4 summarizes our results. We make three observations. (1) Data heterogeneity level and FL optimizer have different impacts on different datasets. In particular, the performance of UT-HAR, AEP, and EPIC-SOUNDS is very sensitive to the data heterogeneity level. In contrast, WISDM-P, CASAS, and VisDrone show limited accuracy differences under different data heterogeneity levels. (2) Under high data heterogeneity, FedAvg has a better performance compared to FedOPT in WISDM and Widar datasets and also has lower deviation for all datasets except WISDM-P and EPIC-SOUNDS. The performance gap between the two FL optimizers reduces under low data heterogeneity. (3) Compared to the other datasets, CASAS, AEP, and WISDM-W have higher accuracy margins between centralized training and low data heterogeneity.

4.2 IMPACT OF CLIENT SAMPLING RATIO

Second, we benchmark the FL performance under two client sampling ratios: 10% and 30%. We report the maximum accuracy reached after completing 50%, 80%, and 100% of the total training rounds for both these ratios under high data heterogeneity, thereby offering empirical evidence of how the model performance and convergence rate are impacted by the client sampling ratio.

Table 5: Impact of client sampling ratio.

Dataset	Training Rounds	Low Client Sampling Ratio (10%)			High Client Sampling Ratio (30%)			
	Truming Troumus	50% Rounds	80% Rounds	100% Rounds	50% Rounds	80% Rounds	100% Rounds	
WISDM-W	400	58.81 ± 1.43	63.82 ± 1.53	68.51 ± 2.21	65.57 ± 2.10	67.23 ± 0.77	69.21 ± 1.13	
WISDM-P	400	29.49 ± 3.65	31.65 ± 1.42	34.28 ± 3.28	33.73 ± 2.77	34.01 ± 2.27	36.01 ± 2.23	
UT-HAR	2000	61.81 ± 7.01	70.76 ± 2.23	74.24 ± 3.87	86.46 ± 10.90	90.84 ± 4.42	92.51 ± 2.65	
Widar	1500	47.55 ± 1.20	50.65 ± 0.24	54.76 ± 0.42	53.93 ± 2.90	55.74 ± 2.15	57.39 ± 3.14	
VisDrone	600	27.07 ± 3.09	31.05 ± 1.55	31.23 ± 0.70	30.56 ± 2.71	33.52 ± 2.90	34.85 ± 0.83	
CASAS	400	71.68 ± 1.96	74.19 ± 1.26	74.72 ± 1.32	73.89 ± 1.16	74.68 ± 1.50	76.12 ± 2.03	
AEP	3000	0.325 ± 0.013	0.371 ± 0.017	0.407 ± 0.003	0.502 ± 0.006	0.523 ± 0.014	0.538 ± 0.005	
EPIC-SOUNDS	300	20.99 ± 5.19	25.73 ± 1.99	28.89 ± 2.82	23.70 ± 6.25	31.74 ± 7.83	35.11 ± 1.99	

Table 6: Impact of noisy labels.

Noisy Label Ratio	WISDM-W	WISDM-P	UT-HAR	Widar	CASAS	EPIC-SOUNDS
0%	68.51 ± 2.21	34.28 ± 3.28	74.24 ± 3.87	54.76 ± 0.42	74.72 ± 1.32	28.89 ± 2.82
10%	50.63 ± 4.19	28.85 ± 1.44	73.75 ± 5.67	34.03 ± 0.33	65.01 ± 2.98	21.43 ± 3.86
30%	47.90 ± 3.05	27.68 ± 0.39	70.55 ± 3.27	27.20 ± 0.56	63.16 ± 1.34	13.30 ± 0.42

Benchmark Results: Table 5 summarizes our results. We make two observations. (1) When the client sampling ratio increases from 10% to 30%, the model performance increases at 50%, 80%, and 100% of the total training rounds across all the datasets. This demonstrates the importance of the client sampling ratio to the model performance. (2) However, a higher sampling ratio does not always speed up model convergence to the same extent. For example, model convergence of CASAS and VisDrone are comparable at both sampling ratios whereas it is much faster for UT-HAR and AEP.

4.3 IMPACT OF NOISY LABELS

Next, we examine the impact of noisy labels on the FL performance under two label error ratios: 10% and 30%, and compare these results with the control scenario that involves no label errors. Note that we only showcase this for WISDM, UT-HAR, Widar, CASAS, and EPIC-SOUNDS as these are classification tasks, and the concept of noisy labels only applies to classification tasks.

Benchmark Results: Table 6 summarizes our results. We make two observations. (1) As the ratio of erroneous labels increases, the performance of the models decreases across all the datasets, and the impact of noisy labels varies across different datasets. For example, UT-HAR only experiences a little performance drop at 10% label error ratio, but its performance drops more when the label error ratio increases to 30%. (2) In contrast, WISDM, Widar, CASAS, and EPIC Sounds are very sensitive to label noise and show significant accuracy drop even at 10% label error ratio.

4.4 Performance on Quantized Training

Lastly, we examine the impact of quantized training on FL under half-precision (FP16)¹. We assess model accuracy and memory usage under FP16 and compare the results to those from the full-precision (FP32) models. Memory usage is measured by analyzing the GPU memory usage of a model when trained with the same batch size under a centralized setting. Note that we use memory usage as the metric since it is a relatively consistent and hardware-independent metric. In contrast, other metrics such as computation speed and energy are highly hardware-dependent. Depending on the chipset that the IoT devices use, the computation speed and energy could exhibit wide variations. More importantly, new and more advanced chipsets are produced every year. The updates of the chipsets would inevitably make the benchmarking results quickly obsolete and out of date.

Benchmark Results: Table 7 summarizes the model performance and memory usage at two precision levels. We make three observations: (1) As expected, the memory usage significantly decreases when using FP16 precision, ranging from 57.0% to 63.3% reduction across different datasets. (2) Similar to Micikevicius et al. (2017), we also observe that model performance associated with the precision levels varies depending on the dataset. For AEP and EPIC-SOUNDS, the FP16 models improve the performance compared to the FP32 models. (3) Widar and WISDM-W have a significant decline in performance when quantized to FP16 precision. FP16 quantization also reduces the communication cost. We show the communication reduction in Table 9 in the Appendix.

¹PyTorch does not support lower quantization levels like INT8 and INT4 during training as referenced Team (2023) at the time of writing and hence those were excluded.

Table 7: Performance on quantized training.

Dataset	Metric	FP32	2	FP16		
2 uusee	1,100110	Model Performance	Memory Usage	Model Performance	Memory Usage	
WISDM-W	Accuracy (%)	68.51 ± 2.21	1444 MB	60.31 ± 5.38	564 MB (\(\dagger 60.9\%)	
WISDM-P	Accuracy (%)	34.28 ± 3.28	1444 MB	30.22 ± 2.05	564 MB (↓ 60.9%)	
UT-HAR	Accuracy (%)	74.24 ± 3.87	1716 MB	72.86 ± 4.49	639 MB (↓ 62.8%)	
Widar	Accuracy (%)	54.76 ± 0.42	1734 MB	34.03 ± 0.33	636 MB (\(\dagger 63.3\%)	
VisDrone	MAP-50 (%)	31.23 ± 0.70	8369 MB	29.17 ± 4.70	3515 MB (\(\psi 60.0\%)	
CASAS	Accuracy (%)	74.72 ± 1.32	1834 MB	72.86 ± 4.49	732 MB (\(\dagger 60.1\%)	
AEP	R^2	0.407 ± 0.003	1201 MB	0.469 ± 0.044	500 MB (↓ 58.4%)	
EPIC-SOUNDS	Accuracy (%)	33.02 ± 5.62	2176 MB	35.43 ± 6.61	936 MB (↓ 57.0%)	

4.5 Insights from Benchmark Results

Need for Resilience on High Data Heterogeneity: As shown in Table 4, datasets can be sensitive to data heterogeneity. We observe that UT-HAR, Widar, AEP, and EPIC-SOUNDS show a significant impact under high data heterogeneity. These findings emphasize the need for developing advanced FL algorithms for data modalities that are sensitive to high data heterogeneity. Handling high data heterogeneity is still an open question in FL (Li et al., 2020; Zhao et al., 2018) and our benchmark shows that it is a limiting factor for some of the IoT data modalities as well. To mitigate high data heterogeneity, although many techniques have been proposed (Arivazhagan et al., 2019; Sattler et al., 2019), their performance is still constrained. Most recently, some exploration on incorporating generative pre-trained transformers (GPT) as part of the FL framework has shown great performance in mitigating high data heterogeneity (Zhang et al., 2023b).

Need for Balancing between Client Sampling Ratio and Resource Consumption of IoT Devices: Table 5 reveals that a higher sampling ratio can lead to improved performance in the long run. However, higher client sampling ratios generally entail increased communication overheads and energy consumption, which may not be desirable for IoT devices. Therefore, it is crucial to identify a balance between the client sampling ratio and resource consumption.

Need for Resilience on Noisy Labels: As demonstrated in Table 6, certain datasets exhibit high sensitivity to label errors, significantly deterring FL performance. Notably, both WISDM-W and Widar experience a drastic decrease in accuracy when faced with a 10% label noise ratio. Given the inevitability of noise in real FL deployments where private data is unmonitored except by the respective data owners, the development of label noise resilient techniques becomes crucial for achieving reliable FL performance. However, handling noisy labels is a much less explored topic in FL. Pioneering work on this topic is still exploring the effects of noisy labels on the performance of FL (Feng et al., 2023; Zhang et al., 2023a). To mitigate the effect of noisy labels, for low label noise rates, techniques such as data augmentation and regularization have been shown to be effective in centralized training settings (Shorten & Khoshgoftaar, 2019; Zhang et al., 2017). If the label error rate is high, techniques such as knowledge distillation (Hinton et al., 2015), mixup Zhang et al. (2017), and bootstrapping (Reed et al., 2014) have been proposed. We expect such techniques or their variants would help in the FL setting.

Need for Quantized Training: Table 8 highlights the need for quantized training given the limited RAM resources on representative IoT devices. From our results, we observe that FP16 quantization does not affect accuracy drastically unless normalization layers are present like batch normalization (Jacob et al., 2018). To mitigate this issue, techniques such as incremental quantization (Zhou et al., 2017) or ternary weight networks (Li et al., 2016) have shown great performance in the centralized training setting. We expect such techniques or their variants would help in the FL setting as well.

5 CONCLUSION

In this paper, we presented FedAIoT, an FL benchmark for AIoT. FedAIoT includes eight datasets collected from a wide range of authentic IoT devices as well as a unified end-to-end FL framework for AIoT that covers the complete FL-for-AIoT pipeline. We have benchmarked the performance of the datasets and provided insights on the opportunities and challenges of FL for AIoT. Moving forward, we aim to foster community collaboration by launching an open-source repository for this benchmark, continually enriching it with more datasets, algorithms, and thorough analytical evaluations, ensuring it remains a dynamic and invaluable resource for FL for AIoT research.

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A APPENDIX

A.1 SUPPLEMENTARY DATASET DETAILS

A.1.1 WISDM

The WISDM dataset comprises raw accelerometer and gyroscope data collected from 51 subjects performing 18 activities for three minutes each. Data were gathered at a 20Hz sampling rate from both a smartphone (Google Nexus 5/5x or Samsung Galaxy S5) and a smartwatch (LG G Watch). Data for each device and sensor type are stored in different directories, resulting in four directories overall. Each directory contains 51 files, each corresponding to a subject. The data entry format is: <subject-id, activity-code, timestamp, x, y, z>. Separate files for the gyroscope and accelerometer readings are provided and are later combined by matching timestamps. Subject ID is given from 1600 to 1650 and the activity code is an alphabetical character between 'A' and 'S' excluding 'N'. The timestamp is in Unix time. The code to read and partition the data into 10s segments is provided by our benchmark. The input shape of the processed data is 200×6 . The original dataset is available at https://archive.ics.uci.edu/dataset/507/wisdm+smartphone+and+smartwatch+activity+and+biometrics+dataset

A.1.2 UT-HAR

The UT-HAR dataset was collected using the Linux 802.11n Channel State Information (CSI) Tool for the task of Human Activity Recognition (HAR). The original data consist of two file types: "input" and "annotation". "input" files contain Wi-Fi CSI data. The first column indicates the timestamp in Unix. Columns 2-91 represent amplitude data for 30 subcarriers across three antennas, and columns 92-181 contain the corresponding phase information. "annotation" files provide the corresponding activity labels, serving as the ground truth for HAR. In our benchmark, only amplitude is used. The final samples are created by taking a sliding window of size 250 where each sample consists of amplitude information across three antennas and from 30 subcarriers and has shape $3\times30\times250$. The original dataset is available at https://github.com/ermongroup/Wifi_Activity_Recognition/tree/master

A.1.3 WIDAR

The Widar dataset (Widar3.0) was collected with a system comprising one transmitter and three receivers, all equipped with Intel 5300 wireless NICs. The system uses the Linux CSI Tool to record the Wi-Fi data. Devices operate in monitor mode on channel 165 at 5.825 GHz. The transmitter broadcasts 1,000 Wi-Fi packets per second while receivers capture data using their three linearly arranged antennas. In our benchmark, we use the processed body velocity profile (BVP) features extracted from the dataset. The size of each data sample after processing is $22 \times 20 \times 20$ consisting of 22 samples over time each having 20 BVP features each in both x and y directions. The raw dataset is available for download at http://tns.thss.tsinghua.edu.cn/widar3.0/index.html

A.1.4 VISDRONE

The VisDrone dataset was collected by the AISKYEYE team at Tianjin University, China. It comprises 288 video clips with 261,908 frames and 10,209 static images captured by cameras mounted on drones at 14 different cities in China in diverse environments, scenarios, weather, and lighting conditions. The frames were manually annotated with over 2.6 million bounding boxes of common targets like pedestrians, cars, and bicycles. Additional attributes like scene visibility, object class, and occlusion are also provided for enhanced data utilization. The dataset is available at https://github.com/VisDrone/VisDrone-Dataset

A.1.5 CASAS

The CASAS dataset is a collection of data generated in smart home environments, where intelligent software uses sensors deployed at homes to monitor resident activities and conditions within the space. The CASAS project considers environments as intelligent agents and employs custom IoT hardware known as Smart Home in a Box (SHiB), which encompasses the necessary sensors, devices,

and software. The sensors in SHiB perceive the status of residents and their surroundings, and through controllers, the system acts to enhance living conditions by optimizing comfort, safety, and productivity. The CASAS dataset includes the date (in yyyy-mm-dd format), time (in hh:mm:ss.ms format), sensor name, sensor readings, and an activity label in string format. The data were collected in real-time as residents go about their daily activities. The code to extract categorical sensor readings to create input sequences and labels is provided in our benchmark. The CASAS dataset can be downloaded from https://casas.wsu.edu/datasets/.

A.1.6 AEP

The AEP dataset, collected over 4.5 months, comprises readings taken every 10 minutes from a ZigBee wireless sensor network monitoring house temperature and humidity. Each wireless node transmitted data around every 3.3 minutes, which were then averaged over 10-minute periods. Additionally, energy data was logged every 10 minutes via m-bus energy meters. The dataset includes attributes such as date and time (in year-month-day hour:minute:second format), the energy usage of appliances and lights (in Wh), temperature and humidity in various rooms including the kitchen (T_1, RH_1) , living room (T_2, RH_2) , laundry room (T_3, RH_3) , office room (T_4, RH_4) , bathroom (T_5, RH_5) , ironing room (T_7, RH_7) , teenager room (T_8, RH_8) , and parents room (T_9, RH_9) , and temperature and humidity outside the building (T_6, RH_6) - all with temperatures in Celsius and humidity in percentages. Additionally, weather data from Chievres Airport, Belgium was incorporated, consisting of outside temperature (To in Celsius), pressure (in mm Hg), humidity $(RH_{out}$ in %), wind speed (in m/s), visibility (in km), and dew point $(T_{dewpoint}$ in °C). The dataset is available at https://archive.ics.uci.edu/dataset/374/appliances+energy+prediction.

A.1.7 EPIC-SOUNDS

As an extension of the EPIC-KITCHENS-100 dataset, the EPIC-SOUNDS dataset focuses on annotating distinct audio events in the videos of EPIC-KITCHENS-100. The annotations include the time intervals during which each audio event occurs, along with a text description explaining the nature of the sound. Given the variation in video lengths in the dataset, which range from 30 seconds to 1.5 hours, the videos are segmented into clips of 3-4 minutes each to make the annotation process more manageable. In order to ensure that annotators concentrate solely on the audio aspects, only the audio stream is provided to them. This decision is taken to prevent bias that could be introduced by the visual and contextual elements in the videos. Additionally, annotators are given access to the plotted audio waveforms. These visual representations of the audio data help the annotators by guiding them in pinpointing specific sound patterns, thus making the annotation process more efficient and targeted. The EPIC-SOUNDS dataset can be extracted from the EPIC-KITHENS-100 dataset with the GitHub repo at https://github.com/epic-kitchens/epic-sounds-annotations. The extracted audio data in the form of HDF5 file format can also be requested from uob-epic-kitchens@bristol.ac.uk.

A.2 Hyperparameters

Hyperparameters for Table 4. For WISDM-W, the learning rate for centralized training was 0.01 and we trained for 200 epochs with batch size 64. For FedAvg, in both low and high data heterogeneity scenarios, we used a client learning rate of 0.01 and trained for 400 communication rounds with batch size 32. For FedOPT, in both low and high data heterogeneity scenarios, we used a client learning rate of 0.01 and a server learning rate of 0.01. We also trained for 400 communication rounds. For WISDM-P, the learning rate for centralized training was 0.01 and we trained for 200 epochs with batch size 128. For FedAvg, in both low and high data heterogeneity scenarios, we used a client learning rate of 0.008 and trained for 400 communication rounds with batch size 32. For FedOPT, in both low and high data heterogeneity scenarios, we used a client learning rate of 0.01 and a server learning rate of 0.01. We also trained for 400 communication rounds. For UT-HAR and Widar, the learning rate for centralized training was 0.001 and the number of epochs was 500 and 200 for UT-HAR and Widar respectively with a batch size of 32. For both low and high data heterogeneity in both FedAvg and FedOPT, the client learning rate was 0.01 and the server learning rate for FedAvg and FedOPT was 1 and 0.01 respectively. The number of communication rounds was 1200 and 900 for UT-HAR and Widar respectively with a batch size of 32. For VisDrone, we used a cosine learning rate scheduler with $T_0 = 10, T_{mult} = 2$ and trained for 200 epochs with a learning rate of 0.1 and batch size 12. For all the experiments on VisDrone, the client learning rate was also 0.1 and the batch

size was 12. For FedOPT, the server learning rate was 0.1. For CASAS, the centralized learning rate was 0.1 with batch size 128. For the federated setting, the client learning rate was 0.005, and the batch size was 32. We trained for 400 rounds. For FedOPT, the server learning rate was 0.01. For AEP, the learning rate for centralized training was 0.001 and the batch size was 32 and it was trained for 1200 epochs. For federated experiments, the client learning rate was 0.01, and the batch size was 32. For FedOPT, the server learning rate was 0.1. For EPIC-SOUNDS, for centralized training, the learning rate was 0.1 with batch size 512. The number of epochs was 120. For federated settings, we used a client learning rate of 0.1 and batch size 32. For FedOPT, the server learning rate was 0.01.

Hyperparameters for Table 5. The setup for all the datasets with 10% client sampling rate is the same as that of Table 4 under high data heterogeneity. For the 30% client sampling rate, the hyperparameters were kept the same as that of the 10% client sampling rate experiments, with the exception of CASAS, where the learning rate was set to 0.15.

Hyperparameters for Table 6. The hyperparameters were the same as that of Table 4 with 10% sampling rate under high data heterogeneity scenario.

Hyperparameters for Table 7. The hyperparameters were same as that of Table 4 with 10% client sampling rate under high data heterogeneity scenario.

A.3 MODEL ARCHITECTURES

A.3.1 WISDM

For WISDM, we use a custom LSTM model that consists of an LSTM layer followed by a feed-forward neural network. The LSTM layer has an input dimension of 6 and a hidden dimension of 6. After the LSTM layer, the output is flattened and passed through a dropout layer with a rate of 0.2 for regularization. It then goes through a fully connected linear layer with an input size of 1, 200 (6 hidden units * 200 timesteps) and an output size of 128, followed by a ReLU activation function. Another dropout layer with a rate of 0.2 is applied before the final fully connected linear layer with an input size of 128 and an output size of 12.

A.3.2 UT-HAR

For UT-HAR, we use a ResNet-18 model with custom architecture designed for the Wi-Fi based Human Activity Recognition (HAR) task. The model consists of an initial convolutional layer that reshapes the input into a 3-channel tensor followed by the main ResNet architecture with 18 layers. This main architecture includes a series of convolutional blocks with residual connections, Group Normalization layers, ReLU activations, and max-pooling. Finally, there is an adaptive average pooling layer followed by a fully connected layer that outputs the class probabilities. The model utilizes 64 output channels in the initial layer and doubles the number of channels as it goes deeper. The last fully connected layer has 7 output units corresponding to the number of classes for the UT-HAR task.

A.3.3 WIDAR

For Widar, we also use a custom ResNet-18 model tailored for the Widar dataset. The model starts by reshaping the 22-channel input to 3 channels using two convolutional transpose layers, followed by a convolutional layer with 64 filters, Group Normalization, ReLU activation, and max-pooling. The core of the model consists of four layers of residual blocks (similar to the standard ResNet18) with 64, 128, 256, and 512 filters. Each basic block within these layers contains two convolutional layers, Group Normalization, and ReLU activations. Finally, an adaptive average pooling layer reduces spatial dimensions to 1×1 , followed by a fully connected layer to output class scores.

A.3.4 VISDRONE

For VisDrone, we use the default YOLOv8n model from Ultralytics library. YOLOv8n is the smallest YOLOv8 model variant with the three scale parameters: depth, width, and the maximum number of channels set to 0.33, 0.25, and 1024 respectively.

Table 8: Representative IoT devices for each dataset.

Application	Application Dataset		Representative Devices	Hardware RAM Size
	WISDM-W	Smartwatch	Apple Watch 8	512 MB to 1 GB
Activity Recognition	WISDM-P	Smartphone	iPhone 14	6 GB
	UT-HAR	Wi-Fi Router	TP-Link AX1800	64 MB to 1 GB
Gesture Recognition	Widar	Wi-Fi Router	TP-Link AX1800	64 MB to 1 GB
Independent Living	CASAS	Smart Home	Raspberry Pi 4	1 GB to 8 GB
Energy Prediction	AEP	Smart Home	Raspberry Pi 4	1 GB to 8 GB
Objective Detection	VisDrone	Drone	Dji Mavic 3 + Raspberry Pi 4	1 GB to 8 GB
Augmented Reality	EPIC-SOUNDS	Head-mounted Device	GoPro / AR Headset	1 GB to 8 GB

Table 9: Communication overheads under different quantization.

	Communication cost per round								
	WISDM-W	WISDM-P	UT-HAR	Widar	VisDrone	CASAS	AEP	EPIC-SOUNDS	
FP32	595.9KB	595.9KB	42.67MB	42.69MB	11.349MB	750.9KB	3.391KB	42.95MB	
FP16	297.95KB	297.95KB	21.335MB	21.345MB	5.6745MB	375.45KB	1.6955KB	21.475MB	

A.3.5 CASAS

For CASAS, we use a BiLSTM neural network which is composed of an embedding layer, a bidirectional LSTM, and a fully connected layer. The embedding layer takes input sequences with dimensions equal to the input dimension and converts them to dense vectors of size 64. The bidirectional LSTM layer has an input size equal to 64, the same number of hidden units, and processes the embedded sequences in both forward and backward directions. The output of the LSTM layer is connected to a fully connected layer with an input size of 128 (to account for the bidirectional LSTM concatenation) and outputs the logits for 12 activities in the CASAS dataset.

A.3.6 AEP

For AEP, we use a custom multi-layer perceptron (MLP) neural network with an architecture comprising five hidden layers and an output layer. The input layer accepts 18 features and passes them through a linear transformation to the first hidden layer with 210 units. Each of the following hidden layers progressively scales the number of units by factors of 2 and 4 and then scales down. Specifically, the sizes of the hidden layers are 210, 420, 840, 420, and 210 units respectively. Each hidden layer uses a ReLU activation function followed by a dropout layer with a dropout rate of 0.3 for regularization. The output layer has a single unit, and the output of the network is obtained by passing the activations of the last hidden layer through a final linear transformation.

A.3.7 EPIC-SOUNDS

For EPIC-SOUNDS, we again use a custom ResNet-18 model which consists of a stack of convolutional layers followed by batch normalization and ReLU activation. The architecture begins with a 7×7 convolutional layer with stride 2, followed by a max pooling layer. Then, it contains four blocks, each comprising a sequence of basic blocks with a residual connection; specifically, each block contains two basic blocks, with output channel sizes of 64, 128, 256, and 512 respectively. Each basic block comprises two sets of 3x3 convolutional layers, each followed by batch normalization and ReLU activation. The first convolutional layer in the basic block has a stride of 2 in the second, third, and fourth blocks. Finally, the model has an adaptive average pooling layer, which reduces the spatial dimensions to 1x1, followed by a fully connected layer with an output size of 44 classes.